

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DATA COMPRESSION USING SET PARTITIONING IN HIERARCHICAL TREES, the specification of which:

(check one) ☐ is attached hereto.

X was filed on September 14, 1995 as
Application Serial No. Not yet assigned
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
			Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)		
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Robert E. Heslin - Reg. No. 24,778
Jeff Rothenberg - Reg. No. 26,429
Kevin P. Radigan - Reg. No. 31,789

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Address all correspondence to David Barron, Esq.

Heslin & Rothenberg, P.C.

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Albany, New York 12203

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor William Abraham Pearlman

Inventor's signature William A. Pearlman Date Sept. 27, 1995

Residence 851 Maxwell Drive, Niskayuna, New York 12309 Citizenship United States

Post Office Address 851 Maxwell Drive, Niskayuna, New York 12309

Full name of second joint inventor, if any Amir Said

Second Inventor's signature Amir Said Date SEPT. 27 1995

Residence Rua Mogu Guacu 328, Apt. 30, 13093-00 Campinas, SP - Brazil Citizenship Brazil

Post Office Address Rua Mogu Guacu 328, Apt. 30, 13093-00 Campinas, SP - Brazil

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-SMALL BUSINESS CONCERN



DOCKET NUMBER: 3661.1000-003

Applicant: William A. Pearlman and Amir Said

Application No.: 10/747,877

Filed: December 29, 2003

Title: N-Dimensional Data Compression Using Set Partitioning in Hierarchical Trees

I hereby state that I am

☒ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN PrimaComp, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 1223 Peoples Avenue

Troy, NY 12180

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

☒ no such person, concern, or organization exists.

☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING William A. Pearlman

TITLE OF PERSON IF OTHER THAN OWNER Resident

ADDRESS OF PERSON SIGNING 851 Maxwell Drive, Niskayuna, NY 12309

SIGNATURE William A. Pearlman DATE 9 May 2004